

# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	ı
08/813,200	03/07/97	HIRANO	M	2342-0107P	ì

- MM21/0312 BIRCH STEWART KOLASCH AND BIRCH

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EXAMINER
DIETRICH, M

ART UNIT PAPER NUMBER
2814

DATE MAILED: 03/12/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

# Office Action Summary

Application No.
08/813,200

Exeminer

Mike Dietrich

Applicant(e)

Group Art Unit
2814

Responsive to communication(s) filed on Feb 22, 1999				
☐ This action is FINAL.				
☐ Since this application is in condition for allowance except for formal m in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11;	atters, prosecution as to the merits is closed 453 O.G. 213.			
A shortened statutory period for response to this action is set to expire _ is longer, from the mailing date of this communication. Failure to respond application to become abandoned. (35 U.S.C. § 133). Extensions of time 37 CFR 1.136(a).	within the period for response will cause the			
Disposition of Claims				
X Claim(s) 1-26	is/are pending in the application.			
Of the above, claim(s) 20-26	is/are withdrawn from consideration.			
☐ Claim(s)				
☐ Claim(s) 10, 11, 16, and 18				
☐ Claims are s				
Application Papers	services to receive the services of the servic			
☐ See the attached Notice of Draftsperson's Patent Drawing Review,	PTO-948			
The drawing(s) filed on is/are objected to by the Examiner.				
☐ The proposed drawing correction, filed on is				
☐ The specification is objected to by the Examiner.				
☐ The oath or declaration is objected to by the Examiner.				
Priority under 35 U.S.C. § 119				
Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).				
	ty documents have been			
⊠ received.				
received in Application No. (Series Code/Serial Number)				
$\square$ received in this national stage application from the Internation	al Bureau (PCT Rule 17.2(a)).			
*Certified copies not received:				
☐ Acknowledgement is made of a claim for domestic priority under 35	i U.S.C. § 119(e).			
Attachment(s)				
X Notice of References Cited, PTO-892				
☐ Notice of Draftsperson's Patent Drawing Review, PTO-948				
□ Notice of Informal Patent Application, PTO-152				
SEE OFFICE ACTION ON THE FOLLOW	VING PAGES			

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#### DETAILED ACTION

#### Title

- The title of the invention is not descriptive. A new title is required that is clearly indicative
  of the invention to which the claims are directed.
- The following title is suggested: Substrate Processing Apparatus With Exhaust Means For Removing Containments.

#### Election/Restriction

Claims 20-26 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b)
 as being drawn to a non-elected invention. Election was made without traverse in Paper No. 7.

## Claim Rejections - 35 USC § 112

- 4. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 5. Claims 14, 17 and 18 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- Claim 14 discloses "a flexible exhaust pipe," flexible is vague and indefinite.
- Claim 17 discloses "load lock chamber at the side of region" is unclear where the gas supply and chamber exhaust are located.

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Claim Rejections - 35 USC § 102

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6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claims 1-9, 12-15, 17 and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by

Saeki.

Saeki shows chamber 7 that contains gas supply line 10 and exhaust line 9 and a load lock

chamber 1 containing gas supply line 3, exhaust line 2 and moving mechanism 6. Exhaust line 2 is

capable of removing contaminating particles from the load lock chamber and is connected to

vacuum pump 23. In re claims 2-4, 6 and 7, the gas lines 3/10 and exhaust lines 2/9 are regulated

by a controlling apparatus that is not shown (see col 5 lines 50-51). It is inherent that the

controlling apparatus that is not shown of Saeki would have used detecting devices and regulators

to control the different pressure settings for chamber 7 and load lock chamber 1. In re claim 5,

the load lock chamber 1 and chamber 7 have means to set the pressure to a normal pressure (col 6

lines 66-67), which is equal to the atmospheric pressure. When the load lock chamber 1 is opened

to receive another wafer, the pressure between load lock chamber 1 and platform 17 that holds

the wafers are equal (col 5 lines 55-60). In re claims 8 and 9, it is inherent that the exhaust line 2

is regulated by the controlling apparatus and is used for creating a pressure in the load lock

chamber 1 that is equal to the atmospheric pressure.

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## Allowable Subject Matter

8. Claims 10, 11, 16 and 18 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mike Dietrich at (703) 305-0381 and between the hours of 8:00 AM to 4:00PM (Eastern Standard Time) Monday through Friday or by E-mail via

Michael.Dietrich@uspto.gov. The Art Unit 2814 Fax Center number is (703) 308-7722; -7724.

Primary Examiner Don Monin can be reached at (703) 308-4895. Any inquiry of a general nature or relating to the status of this application should be directed to the Group 2800 Receptionist at (703) 308-0956.

Michael Dietrich

MD

03/03/99

Donald L. Monin, Jr.